



Arnold Schwarzenegger
Governor

March 16, 2010
22M:375:JEP:1056

Ms. Cynthia J. Nelson, Deputy City Manager for Development Services
Santa Ana Workforce Investment Board
20 Civic Center Plaza (M-25)
Santa Ana, CA 92702

Dear Ms. Nelson:

AMERICAN RECOVERY AND REINVESTMENT ACT
PROGRAM REVIEW
FINAL MONITORING REPORT
PROGRAM YEAR 2009-10

This is to inform you of the results of our review for Program Year (PY) 2009-10 of the Santa Ana Workforce Investment Board's (Santa Ana WIB)'s activities funded by the American Recovery and Reinvestment Act of 2009 (ARRA). We focused this review on the following areas: program administration, local level monitoring, management information system/reporting, incident reporting, nondiscrimination and equal opportunity, grievance and complaint system, and program operations including ARRA activities and participant eligibility.

This review was conducted by Ms. Jennifer Patel from January 25, 2010 through January 29, 2010.

Our review was conducted under the authority of Sections 667.400 (a) and (c) and 667.410 of Title 20 of the Code of Federal Regulations (20 CFR). The purpose of this review was to determine the level of compliance by the Santa Ana WIB with applicable federal and state laws, regulations, policies, and directives related to the ARRA grant.

We collected the information for this report through interviews with the Santa Ana WIB representatives and service provider staff. In addition, this report includes the results of our review of sampled case files, the Santa Ana WIB's response to Sections I and II of the ARRA Program On-Site Monitoring Guide, and a review of applicable policies and procedures for PY 2009-10.

BACKGROUND

For PY 2009-10, the Santa Ana WIB was allocated: \$891,612 to serve 150 adult participants; \$2,078,031 to serve 450 youth participants; and \$857,875 to serve 147 dislocated worker participants.

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For the quarter ending December 31, 2009, the Santa Ana WIB reported the following expenditures for its ARRA funded activities: \$52,553 for adult participants; \$2,003,712 for youth participants; and \$32,155 for dislocated worker participants. In addition, the Santa Ana WIB reported the following enrollments: 33 adult participants; 520 youth participants; and 16 dislocated worker participants. We reviewed case files for 30 of the 569 participants enrolled in ARRA funded activities as of January 25, 2010.

PROGRAM REVIEW RESULTS

We concluded that, overall, the Santa Ana WIB is meeting applicable ARRA requirements concerning program operations.

This report contains no findings or concerns; therefore, we are issuing this report as the final report.

Because the methodology for our monitoring review included sample testing, this report is not a comprehensive assessment of **all** of the areas included in our review. It is the Santa Ana WIB's responsibility to ensure that its systems, programs, and related activities comply with the ARRA grant program, federal and state regulations, and applicable state directives. Therefore, any deficiencies identified in subsequent reviews, such as an audit, would remain the Santa Ana WIB's responsibility.

Please extend our appreciation to your staff for their cooperation and assistance during our review. If you have any questions regarding this report or the review that was conducted, please contact Ms. Jennifer Shane at (916) 654-1292.

Sincerely,



JESSIE MAR, Chief
Compliant Monitoring Section
Compliance Review Office

cc: Joyce Fong, MIC 50
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